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| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B   | Attorney Docket Number | 52481/DBP/T360            |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) | Application Number     | <del>***</del> 10/849 298 |
|   | Filing Date            | May 19, 2004              |
|   | Applicant(s)           | Michiaki Hayashi, et al.  |
|   | Group Art Unit         | N/A                       |
|   | Examiner Name          | N/A                       |

| U.S. PATENT DOCUMENTS |                          |   |                                |                  |
|-----------------------|--------------------------|---|--------------------------------|------------------|
| EXAMINER<br>INITIALS  | Cite<br>No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee |
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| FOREIGN PATENT DOCUMENTS |                          |   |                                |  |                       |
|--------------------------|--------------------------|---|--------------------------------|--|-----------------------|
| EXAMINER<br>INITIALS     | Cite<br>No. <sup>1</sup> | FOREIGN PATENT DOCUMENT<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>(✓) |
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| EXAMINER<br>INITIALS | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| AB                   |                          | OOI, ET AL., "40-Gb/s WDM Transmission With Virtually Imaged Phased Array (VIPA) Variable Dispersion Compensators" Journal of Lightwave Technology, Vol. 20, No. 12, December 2002, pp. 2196-2203  |
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